



Red Tractor Traceability Challenge Non-Conformance Codes

Classification is based on the impact the failing could have in terms of potential for a product to be erroneously labelled with the Red Tractor Logo/ claim. If you have any queries about non-conformances issued by the assessor, they should be raised with the relevant Red Tractor Technical Manager (Licensing).

Code	Level	Description
TC1	CRITICAL	Fraudulently/ knowingly using the RT logo/ claim on product (and/ or paperwork) that is not eligible for RT
TC2	CRITICAL	Mistakenly using the RT logo/ claim on product (and/ or paperwork) that is not eligible for RT - error is not down to the licensee but further down the supply chain.
TC3	CRITICAL	Mistakenly using the RT logo/ claim on product (and/ or paperwork) on product that is not eligible for RT - error is within licensee controls
TC4	MAJOR	Using wrong logo
TC5	MAJOR	Failed mass balance - cause is down to licensee management
TC5B	Minor	Failed mass balance - failures are further down the supply chain and out of the management control of the licensee
TC6	MAJOR	More than 4 hours to produce paperwork confirming product is eligible for RT logo - root cause of failure is completely within licensee management control (either on site or within company)
TC6B	Minor	More than 4 hours to produce paperwork confirming product is eligible for RT logo - if the supply chain back to assurance status is more than one step away and not within company
TC7	CRITICAL	Not checking the assurance status of incoming products
TC8	MAJOR	No product specifications for product purchased in for further processing
TC9	MAJOR	Product brought in for further processing is not identified as eligible for RT logo (farm assured status) on delivery paperwork
TC10	MAJOR	RTA product not clearly labelled throughout the site
TC10B	Minor	RTA product not clearly labelled throughout the site; however product is clearly labelled (by e.g. batch code, barcode) ensuring traceability is secure.
TC11	MAJOR	Inadequate licensee traceability/ management controls around product eligible for RT and product not eligible for RT
TC11B	Minor	Secure controls for product eligibility are in place, however this is not fully recorded.
TC12	MAJOR	Inadequate controls to ensure correct packaging/ labels/ stickers are used on product
TC12B	Minor	No product labelled as RT or label inspection checks are in place to ensure correct labels are applied but does not record RTA status.
TC13	MAJOR	Poor staff awareness/ training of Red Tractor. Skill gap is sufficient to provide an opportunity for mis-use of RT logo.
TC13B	Minor	Poor staff awareness/ training of Red Tractor. Skill gap minor and procedures and records are in place to ensure RT status is secure.

TC14	MAJOR	Not competing regular checks to validate that suppliers are supplying to specification
TC15	Minor	Traceability challenge carried out for QMS product has failed (RTA intact but whole life assurance for Scotch has failed)
TC16	CRITICAL	Licence held for company is not up to date/ valid/ doesn't correspond to current membership year or license fee has not been paid.
TC17	CRITICAL	Inadequate licensee traceability/ management controls around product that is stunned and non-stunned
TC18	CRITICAL	Site does not have/ know about DTAS
TC18B	Minor	Site does not yet have DTAS but the haulage company has applied for membership
TC20B	Minor	Approved supplier list for all species/ produce does not match with the suppliers list on the licence application
TC21B	Minor	Product eligible for a RTA claim, which is sourced from animals assured to recognised equivalent schemes is not described as RTA post carcase, sides or quarters.
TC22B	Minor	Product of RTA origin is not described as RTA, e.g. "Farm Assured"
TC23	MAJOR	Full traceability backward or forwards is not demonstrated irrespective of assurance status of product.
TC24B	Minor	Customer list for all products sold with a RTA claim does not match with the customer list on the licence application
TC25	MAJOR	Product sold as RTA is not identified as RTA in the product description on despatch paperwork, customer invoices or products labels. Not applicable where product is destined for final consumer
TC26B	Minor	Product list for all products sold with a RTA claim does not match with the product names on the licence application